

# *Controlling Legionella and Waterborne Pathogens In Building Plumbing Systems*

---

**Janet E. Stout, PhD**

Founder and Exec. V.P., Special Pathogens Laboratory  
Research Associate Professor, University of Pittsburgh

# My Affiliations – Two Hats

---

- Founder and Exec. V.P. of Special Pathogens Laboratory (Pace Laboratory).
- More than a laboratory, we offer a comprehensive approach to the detection and control of *Legionella* and waterborne pathogens
- Research Associate Professor in the Dept. of Civil & Environmental Engineering at the University of Pittsburgh

*Question: Why did Legionella  
cross the road?*

Answer:

To get away from Dr. Stout!



# Mission: End Legionnaires' Disease

---



- No one should die from a preventable disease caused by a bacteria in water.
- Legionnaires' disease can and should be prevented.

# *Legionella* Is...

---

The problem you don't think  
you have until  
you have it.

# What Denial Looks Like

---

*Legionella* isn't in my water systems

Legionnaires' disease – never seen it so it won't happen to me or my facility

*Legionella* water safety & management is a waste of money

I won't test for *Legionella* (and know the risk) because I don't have to test

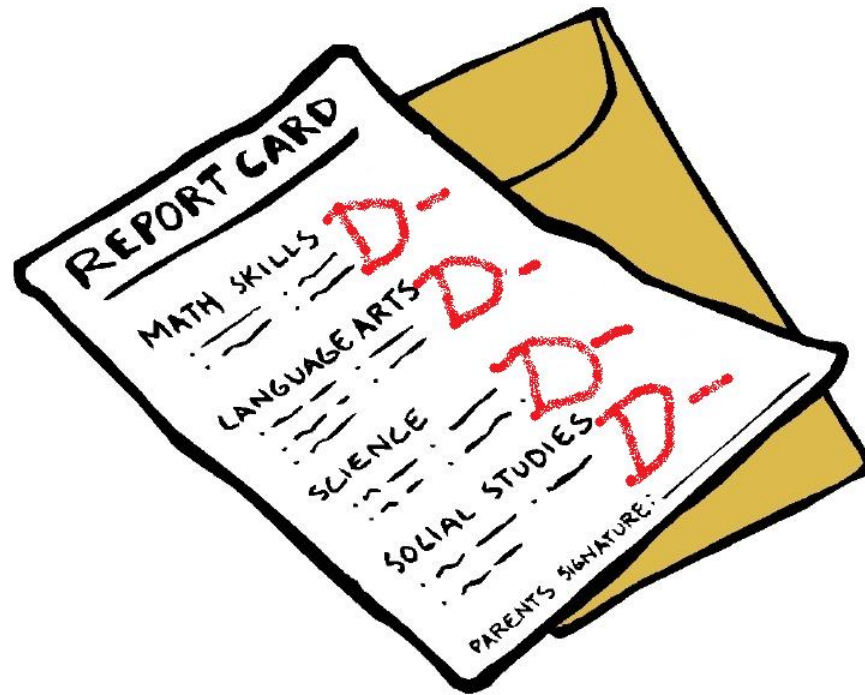
# Preventing Legionnaires' Disease

---

How are  
we doing?



# Report Card





# *Legionella* Control Is Challenging

---

## Safety



## Water Conservation



# Assessing *Legionella* and Waterborne Pathogen Risks

---

- Know who occupies the building (risk stratification)
- Have knowledge of the building water system design and water management as related to legionellosis and waterborne pathogens
- Assess risk and implement appropriate control measures

# Supplemental Disinfection



## **NEEDS, BENEFITS AND LIMITATIONS**

# First - Is Disinfection Needed?

---

If an outbreak or illness is suspected

Facilities that house or treat individuals at increased risk for Legionnaires' disease (e.g., senior communities, outpatient clinics)

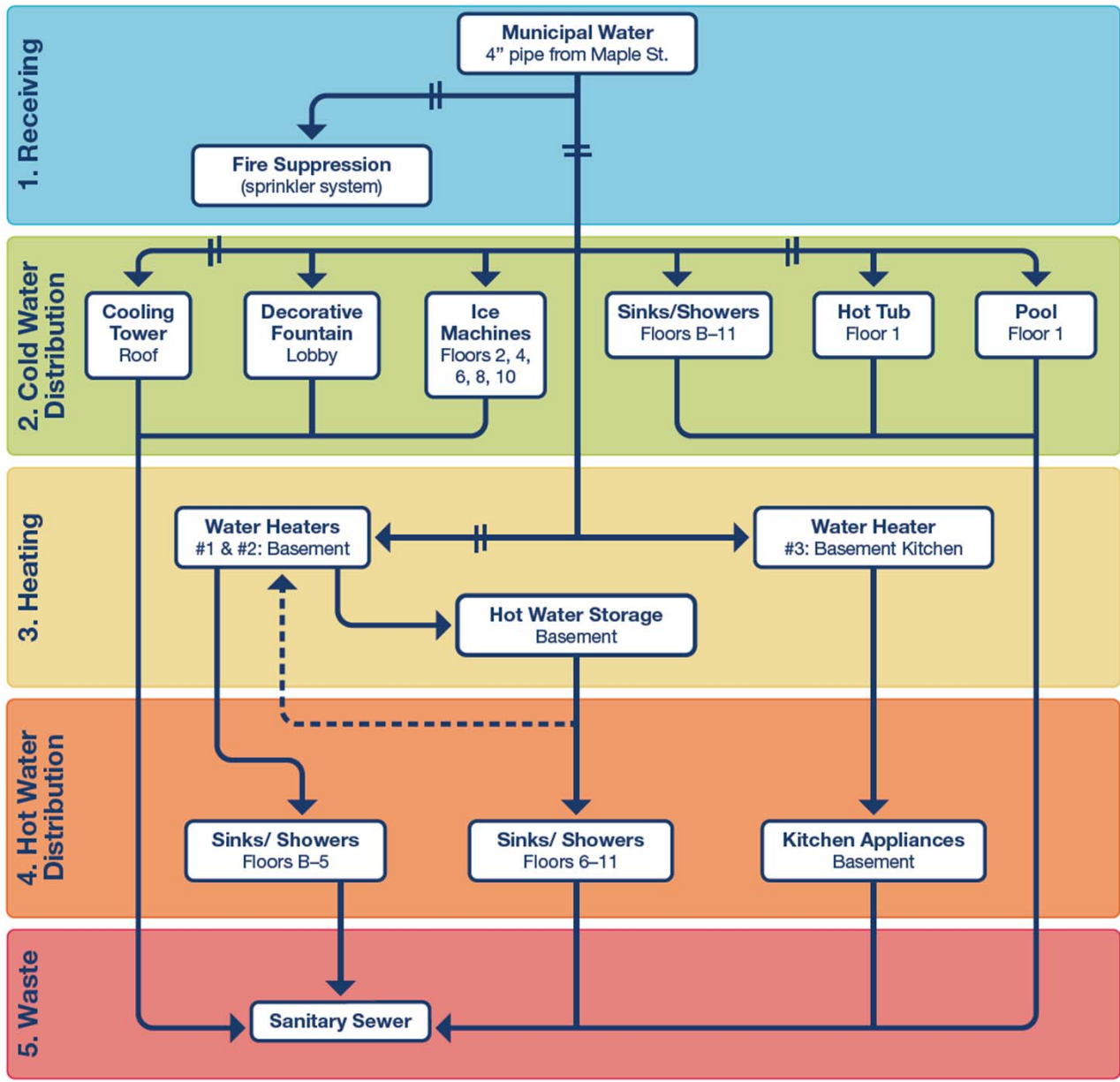
Facilities unable to meet control limits consistently

Facilities with a history of associated Legionnaires disease cases

Point of Entry

Systemic

Point of Use



# Where Is Control Needed?

Legend: || Backflow Preventer   ← WaterFlow   ←--- Recirculating Return Flow   □ Water Process

# Alphabet Soup of *Legionella* Control Resources

ASHRAE

CDC

ASSE

ASDWA

AWWA

- AWT
- CTI
- EPA
- NASEM
- IAPMO



# ASHRAE Standard 188 & Guideline



**ANSI/ASHRAE Standard 188-2021**  
(Supersedes ANSI/ASHRAE Standard 188-2018)  
Includes ANSI/ASHRAE addenda listed in Appendix D

## Legionellosis: Risk Management for Building Water Systems

See Informative Appendix D for approval dates.

This Standard is under continuous maintenance by a Standing Standard Project Committee (SSPC) for which the Standards Committee has established a documented program for regular publication of addenda or revisions, including procedures for timely, documented, consensus action on requests for change to any part of the Standard. Instructions for how to submit a change can be found on the ASHRAE® website (<https://www.ashrae.org/continuous-maintenance>).

The latest edition of an ASHRAE Standard may be purchased from the ASHRAE website ([www.ashrae.org](http://www.ashrae.org)) or from ASHRAE Customer Service, 180 Technology Parkway NW, Peachtree Corners, GA 30092. E-mail: [orders@ashrae.org](mailto:orders@ashrae.org). Fax: 678-539-2129. Telephone: 404-636-8400 (worldwide), or toll free 1-800-527-4723 (for orders in US and Canada). For reprint permission, go to [www.ashrae.org/permissions](http://www.ashrae.org/permissions).

© 2021 ASHRAE ISSN 1041-2336



**ASHRAE Guideline 12-2020**

## Managing the Risk of Legionellosis Associated with Building Water Systems

Approved by the ASHRAE Standards Committee on March 26, 2020, and by the ASHRAE Board of Directors on March 30, 2020.

This Guideline is under continuous maintenance by a Standing Standard Project Committee (SSPC) for which the Standards Committee has established a documented program for regular publication of addenda or revisions, including procedures for timely, documented, consensus action on requests for change to any part of the Guideline. Instructions for how to submit a change can be found on the ASHRAE® website (<https://www.ashrae.org/continuous-maintenance>).

The latest edition of an ASHRAE Guideline may be purchased from the ASHRAE website ([www.ashrae.org](http://www.ashrae.org)) or from ASHRAE Customer Service, 1791 Tullie Circle, NE, Atlanta, GA 30329-2305. E-mail: [orders@ashrae.org](mailto:orders@ashrae.org). Fax: 678-539-2129. Telephone: 404-636-8400 (worldwide), or toll free 1-800-527-4723 (for orders in US and Canada). For reprint permission, go to [www.ashrae.org/permissions](http://www.ashrae.org/permissions).

© 2020 ASHRAE ISSN 1049-894X

# Purpose of ASHRAE Standard 188

---

Establish minimum Legionellosis risk management requirements for building water systems.

*My Note: More than the minimum is needed to prevent building-associated Legionnaires' disease*



# ASHRAE Guideline 12-2020

---

## 5.3 Legionella Control Measures

- Available control measures include the following:
  - a. Temperature control
  - • b. Supplemental disinfection/treatment
  - c. Filtration
  - d. Flushing
  - e. Recirculation
  - f. Cleaning and maintenance

January 13, 2021

Version 1.1



## **Toolkit for Controlling *Legionella* in Common Sources of Exposure (*Legionella* Control Toolkit)**

**INFORMATION ON CONTROLLING *LEGIONELLA*  
IN COMMONLY IMPLICATED SOURCES OF  
LEGIONNAIRES' DISEASE OUTBREAKS**



# CDC 2021 *Legionella* Tool Kit



<https://www.cdc.gov/legionella/wmp/control-toolkit/index.html>

# 2021 CDC *Legionella* Control Toolkit

---

This document is a complement to the CDC toolkit of 2017

- Supports ASHRAE guideline 12/2020
- Referenced by CMS and The Joint Commission

## Contents:

- Controlling *Legionella* in Potable Water Systems
- Controlling *Legionella* in Cooling Towers
- Controlling *Legionella* in Hot Tubs
- Controlling *Legionella* in Decorative Fountains
- Controlling *Legionella* in Other Devices
- ▶ Routine Testing for *Legionella*

# Legionella Control Potable Water

**Controlling Legionella in Potable Water Systems**

**Purpose**

This document to:

- Help evaluate hazardous conditions associated with potable water systems
- Implement Legionella control measures for potable water systems per ASHRAE Guideline 12-2020
- Complement existing resources for water management programs (WMP)
- Support environmental assessments conducted during public health investigations

**Key Points**

- No single control measure ensures the control of Legionella in potable water systems.
- Thermal remediation is not recommended for potable water systems.

**Design**

Understanding potable water system design components is critical for Legionella control. The following considerations apply to hot and cold potable water systems. They should be evaluated at the point at which water enters a facility system and the point where it leaves the system through a fixture or device.

**Design Recommendations**

- Use pipe insulation to maintain hot and cold water temperatures throughout the water system.
- Eliminate sections of no- or low-water flow called dead legs.
- Install thermostatic mixing valves as close as possible to fixtures to prevent scalding while permitting circulating hot water temperatures above 120°F (49°C).

**Key Factors:** Sediment and biofilm, temperature, water age, and disinfectant residual are the key factors that affect Legionella growth in potable water systems.

**Additional Design Recommendations:**

- Recognize that low-flow and mechanically complex fixtures (e.g., electronic sensor faucets) can increase the risk of Legionella growth.
- Identify water system components that speed the decay of disinfectant residuals (e.g., UV devices, water softeners, carbon filters, heaters).
- Use appropriately sized hot and cold water storage tanks fitted with recirculating pumps to maintain flow and avoid unfavorable temperature gradients.
- Consider installing sampling ports throughout your water system in locations to facilitate water parameter monitoring and WMP validation.

Legionella Control Toolkit Potable Water Systems Page A1

U.S. Department of Health and Human Services  
Centers for Disease Control and Prevention

- Implement Legionella control measures for potable water systems per ASHRAE Guideline 12-2020
- No single control measure ensures control
- Thermal remediation is not recommended

# Caution.....

## Operation, Maintenance, and Control Limits

Develop a WMP to protect building operators, staff, and patrons from exposure to *Legionella* in potable water systems. No single measure can ensure *Legionella* control. A comprehensive WMP allows water system operators to layer a series of complementary control measures to create environmental conditions that prevent bacterial intrusion, growth, and transmission. Develop or refine a WMP with the following guidelines in mind:

Monitor temperature, disinfectant residuals, and pH frequently based on performance of water management program or *Legionella* performance indicators for control. Adjust measurement frequency according to the stability of performance indicator values. For example, the measurement frequency should be increased if there is a high degree of measurement variability.

Store hot water at temperatures above 140°F (60°C) and ensure hot water in circulation does not fall below 120°F (49°C). Recirculate hot water continuously, if possible.

Store and circulate cold water at temperatures below the favorable range for *Legionella* (77–113°F, 25–45°C); *Legionella* may grow at temperatures as low as 68°F (20°C).

Ensure a disinfectant residual is detectable throughout the potable water system.

Flush low-flow piping runs and dead legs at least weekly and flush infrequently used fixtures (e.g., eye wash stations, emergency showers) regularly as-needed to maintain water quality parameters within control limits.

- Clean and maintain water system components, such as thermostatic mixing valves, aerators, showerheads, hoses, filters, and storage tanks, regularly.
- Do not presume supplemental disinfection systems will control *Legionella* without an adequate WMP.
  - ▶ Selecting or operating a supplemental disinfection system inappropriately may result in system damage or health hazards (e.g., disinfectant byproducts). Consult with a water treatment professional regarding supplemental disinfection systems. They may require permitting.
- Recognize that 0.2-micron biological point-of-use (POU) filters can provide immediate control at individual fixtures in a water system if integrated into a WMP.
  - ▶ POU filters protect only the connected fixture. Correct location selection is critical to *Legionella* exposure prevention across the water system.
  - ▶ Follow the manufacturer recommendations regarding frequency of replacement and appropriate operating conditions.
  - ▶ POU filters may need to be removed before performing an acute remediation procedure.
- Consider testing for *Legionella* in accordance with Routine Testing for *Legionella* (Page F1).

- Selecting or operating a supplemental disinfection system inappropriately may result in system damage or health hazards
- Consult with a water treatment professional regarding supplemental disinfection systems. They may require permitting.



# Legionella Control: Point-of-Use (POU)

## Operation, Maintenance, and Control Limits

Use a WMP to protect building operators, staff, and visitors from exposure to *Legionella* in potable water systems. No single measure can ensure *Legionella* control. A comprehensive WMP allows water system operators to layer a series of complementary control measures to create environmental conditions that prevent bacterial intrusion, growth, and transmission. Develop or refine a WMP with the following guidelines in mind:

Monitor temperature, disinfectant residuals, and pH frequently based on performance of water management program or *Legionella* performance indicators for control. Adjust measurement frequency according to the stability of performance indicator values. For example, the measurement frequency should be increased if there is a high degree of measurement variability.

Store hot water at temperatures above 140°F (60°C) and ensure hot water in circulation does not fall below 120°F (49°C). Recirculate hot water continuously, if possible.

Store and circulate cold water at temperatures below the favorable range for *Legionella* (77–113°F, 25–45°C); *Legionella* may grow at temperatures as low as 68°F (20°C).

Ensure a disinfectant residual is detectable throughout the potable water system.

Flush low-flow piping runs and dead legs at least weekly and flush infrequently used fixtures (e.g., eye wash stations, emergency showers) regularly as-needed to maintain water quality parameters within control limits.

- Clean and maintain water system components, such as thermostatic mixing valves, aerators, showerheads, hoses, filters, and storage tanks, regularly.
- Do not presume supplemental disinfection systems will control *Legionella* without an adequate WMP.
  - ▶ Selecting or operating a supplemental disinfection system inappropriately may result in system damage or health hazards (e.g., disinfectant byproducts). Consult with a water treatment professional regarding supplemental disinfection systems. They may require permitting.
- Recognize that 0.2-micron biological point-of-use (POU) filters can provide immediate control at individual fixtures in a water system if integrated into a WMP.
  - ▶ POU filters protect only the connected fixture. Correct location selection is critical to *Legionella* exposure prevention across the water system.
  - ▶ Follow the manufacturer recommendations regarding frequency of replacement and appropriate operating conditions.
  - ▶ POU filters may need to be removed before performing an acute remediation procedure.
- Consider testing for *Legionella* in accordance with Routine Testing for *Legionella* (Page F1).

- 0.2-micron biological point-of use (POU) filters can provide immediate control at individual fixtures in a water system.
- Limitation: POU filters protect only the connected fixture.



# *Legionella* Control: Point-of-Use (POU)

---

Follow the manufacturer recommendations regarding frequency of replacement and appropriate operating conditions.

POU filters may need to be removed before performing an acute remediation procedure.

- Consider testing for *Legionella* in accordance with Routine Testing for Legionella (Page F1).

# Review of POU Filters Published in AJIC

American Journal of Infection Control 000 (2019) 1–7



Contents lists available at [ScienceDirect](#)

American Journal of Infection Control

journal homepage: [www.ajicjournal.org](http://www.ajicjournal.org)

AJIC  
American Journal of  
Infection Control

or Article

Point-of-use filters for prevention of health care–acquired Legionnaires’ disease: Field evaluation of a new filter product and literature review

Technique Parkinson MS<sup>a</sup>, Julianne L. Baron PhD<sup>a</sup>, Beth Hall MBA<sup>b</sup>, Harrie Bos<sup>b</sup>, Patrick Racine PEng, CEM<sup>c</sup>,  
Marilyn M. Wagener MS<sup>d</sup>, Janet E. Stout PhD<sup>a,e,\*</sup>



United States  
Environmental Protection  
Agency

Office of Water  
4607

EPA 815-R-06-010  
April 2006



# Point-of-Use or Point-of-Entry Treatment Options for Small Drinking Water Systems



# Point-of-Entry Filtration



# Point-of-Entry Filtration - Pros

---

Validated barrier for microorganisms

Addresses incoming source of *Legionella* and other waterborne pathogens

Significant reduction of particulate load (nutrients)

# Point-of-Entry Filtration - Cons

---

Large footprint

High capital costs

No downstream effects (biofilm)

# Potable Water Disinfection Methods

## Shock (Short-Term) Disinfection

- Thermal
- Hyper-Chlorination
- Short Course Copper Silver Ionization

## Supplemental (Long-Term) Disinfection

- Supplemental Chlorination
- Chlorine Dioxide
- Copper Silver Ionization
- Monochloramine

## Other

- Point of use filtration
- UV
- Ozone

# Stay Within Recommended or Required Limits

Chemicals	Manufacturers Recommended Target Control Ranges (mg/L)	Maximum Regulated Level (mg/L)	Regulated Disinfection By-Products (USEPA, SDWA)
Chlorine (as Cl <sub>2</sub> )	0.5 – 3.0	MRDL = 4.0	THMs, HAA5
Chlorine dioxide (as ClO <sub>2</sub> )	0.1 – 0.7	MRDL = 0.8	Chlorite
Monochloramine (as Cl <sub>2</sub> )	2.0 – 3.0	MRDL = 4.0	THMs, HAA5
Copper-Silver	Copper = 0.20 – 0.80 Silver = 0.01 – 0.08	Copper, MCL = 1.3 Silver, SMCL = 0.1 (Non-enforceable)	Not applicable, Cu/Ag are not EPA listed disinfectants

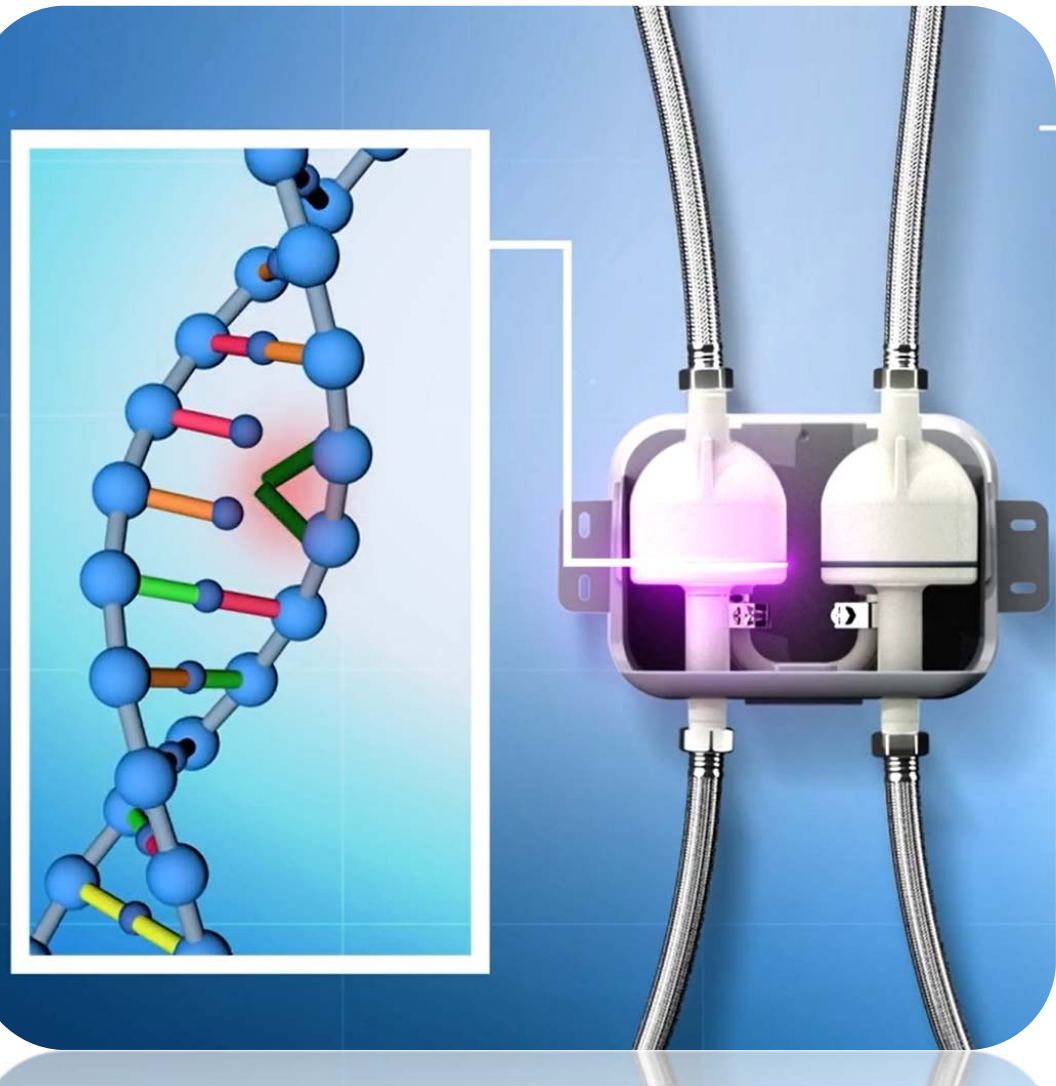
# Ability to Maintain a Disinfectant Residual



Disinfectant needs to pass through distal outlets to be effective

If a building can't deliver hot water, it may struggle to provide an effective supplemental disinfectant

# New Innovative Approaches





# Other (Innovative) POU Approaches

---

## Temperature and flow control

- Programmable touch-free faucets address stagnant water and can run unattended when usage is low.

## Physical disinfection at the POU

- UV-C LED technology provides water disinfection treatment for hand-washing sinks- may be extra protection for high-risk patient care units.

## Auto Draining Fixtures

Designed to help reduce stagnant water in the valve, pipes, and hoses of the shower system.

Drains integrated into the valve and hand spray hose remove water from the system after each use.



### Automatic Drain Hand Spray Hose

The valve is integrated into the hose. After each use, water from the spray and hose is drained automatically.



### Automatic Valve Drain

Available integrated into the trim plate or as a separate drain installed below the valve. When the water is shut off, water drains automatically from the valve.



Remote Drain Op

# Bottom Line: Understand Before Selecting

## Disinfection Approach

- Disinfection method
- Impact to water quality
- Targeted application

## Efficacy

- Evidence-based evaluation
- Ability to maintain effective residual
- Manufacturer's operating ranges

## Permitting and operation requirements

**The New York Times**

**By Max Horberry**

Aug. 27, 2020

# *Reopened Schools Find Health Risks in Water After Covid-19 Lockdowns*

A number of schools found the bacteria that causes Legionnaires' disease in their water, and experts say more should expect to see it.



Experts worry that water was left to stagnate in plumbing during lockdown, and that schools don't have plans or effective guidance for dealing with the effects of prolonged shutdowns. Frederic J. Brown/Agence France-Presse — Getty Images

# WHY DO MICROBES LIKE A SHUTDOWN?

---

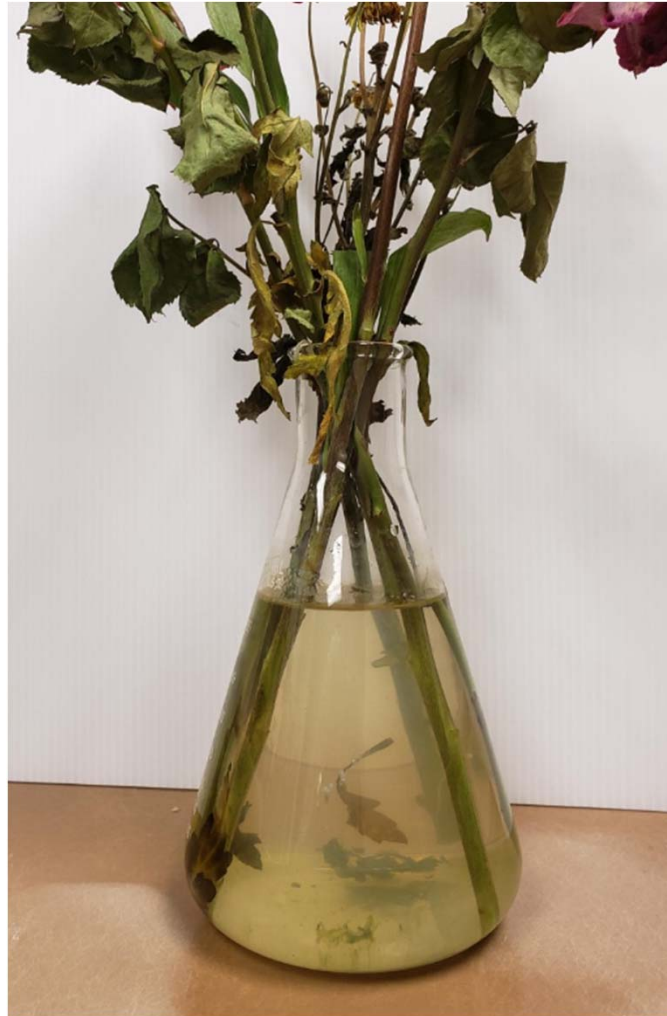
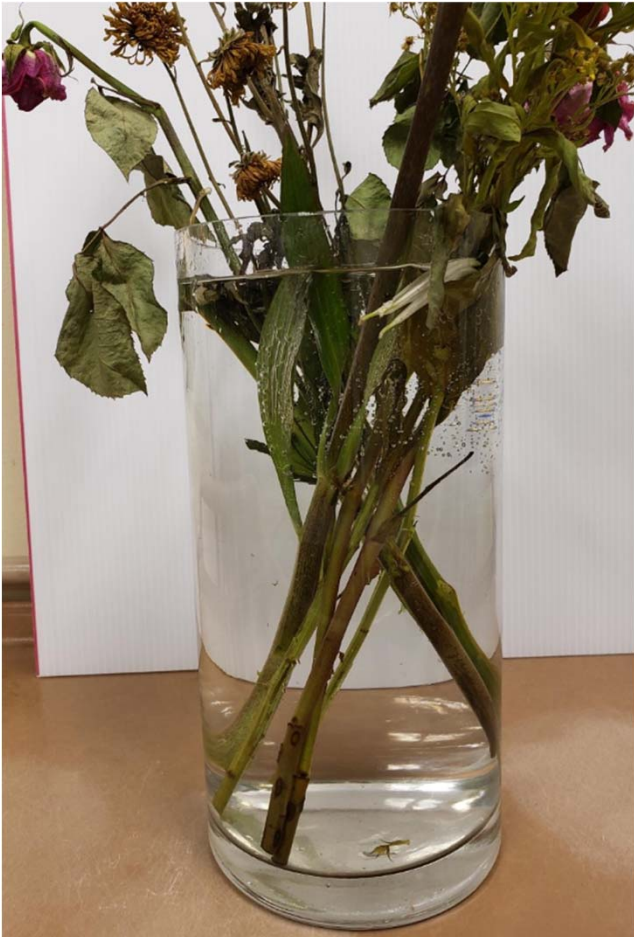
## What Have We Learned?

# WHAT HAPPENS TO WATER QUALITY?

---

What's Flow Got To Do With It?

# No Flow, They Grow



# Closer Look

This experiment shows that bacteria will grow given a nutrient source and stagnant water.

What's in your stagnant pipes?

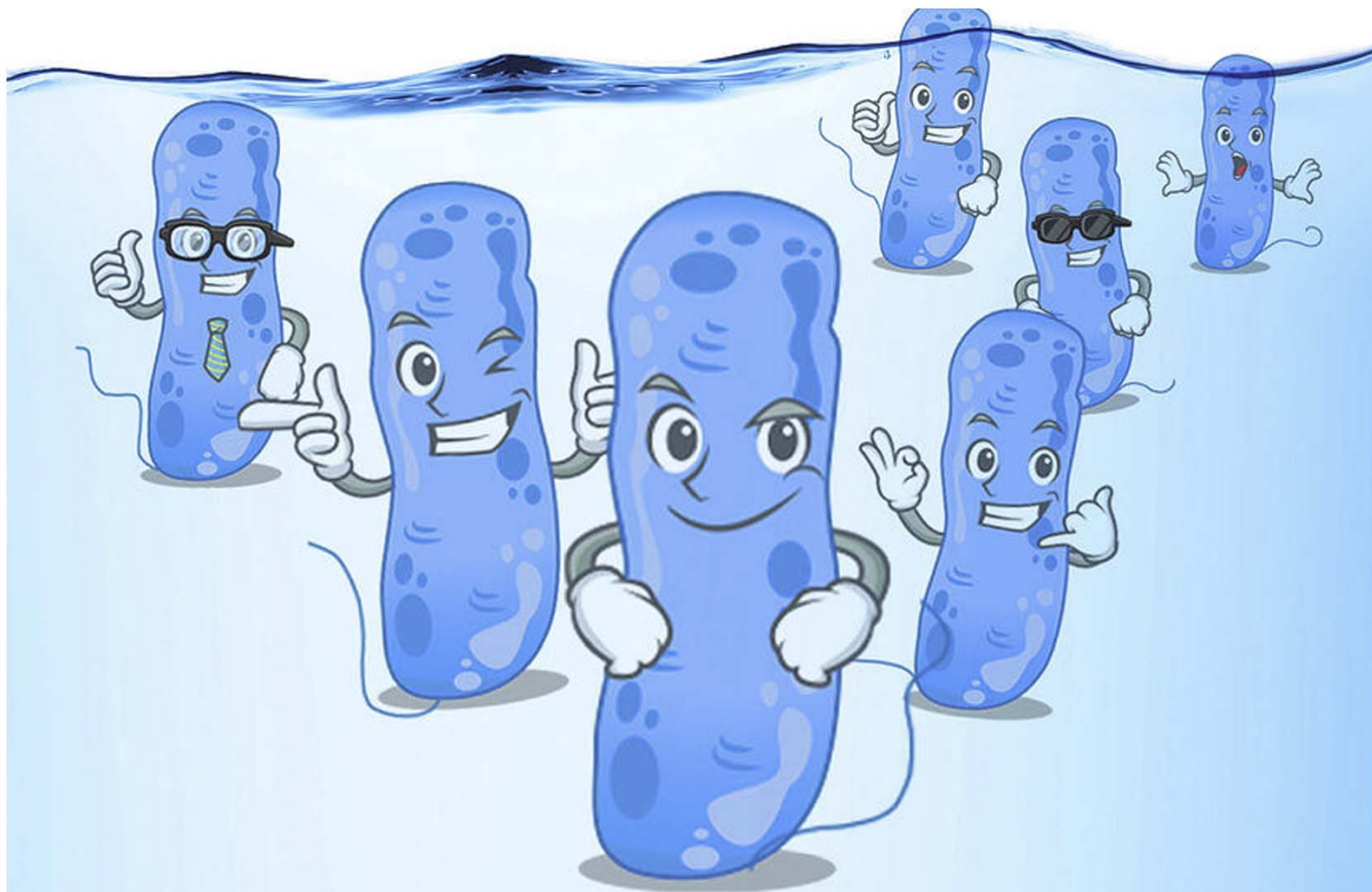




# Microbes in Your Pipes



THERE'S A  
**PARTY**  
IN YOUR  
**PIPES**



**What makes us grow?**



**SPECIAL  
PATHOGENS  
LABORATORY®**

**THE  
LEGIONELLA  
EXPERTS®**

# Buildings Designed to Grow Bacteria

- Increased water age

- Conversion to green/low water use/non-touch
- Reduction in hand washing for disinfectant gels



- Low disinfection residuals

- No chemical disinfectant in public water



# Green/low water use/non-touch

---



# Stagnant or Standing Water

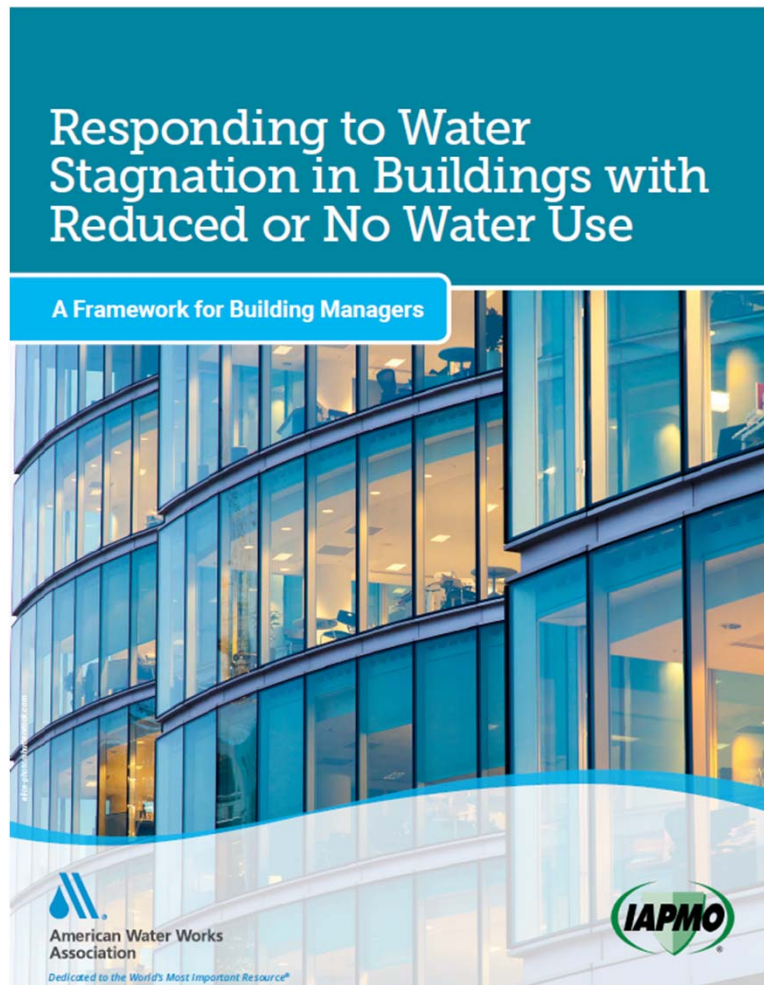
---

Increase time water is in the pipes (water age) degrades water quality.

*Legionella* and other bacteria grow well in the company of other microbes (synergy).

May increase the need for disinfection – either short-term or long-term water treatment.

# AWWA/IAPMO Safe Shutdown and Startup of Building Water Systems



# CDC: Hotel Outbreaks

## Considerations for Hotel Owners and Managers: How to Prevent Legionnaires' Disease

View Page In: [PDF](#) [2 pages, 2 MB]



**Making a Splash with Safe Water**

Legionnaires' disease is a serious type of pneumonia (lung infection) caused by *Legionella* bacteria. It can be associated with **hotels and resorts**, and may be deadly and costly. Prevention keeps guests and employees healthy — it makes good business sense, too!

<https://www.cdc.gov/legionella/wmp/hotel-owners-managers.html>

# Successfully Implementing Water Management Plans

---

If there is a 50-50 chance that something can go wrong, then 9 times out of 10 it will.

- Paul Harvey





# We Need Better *Legionella* Water Management

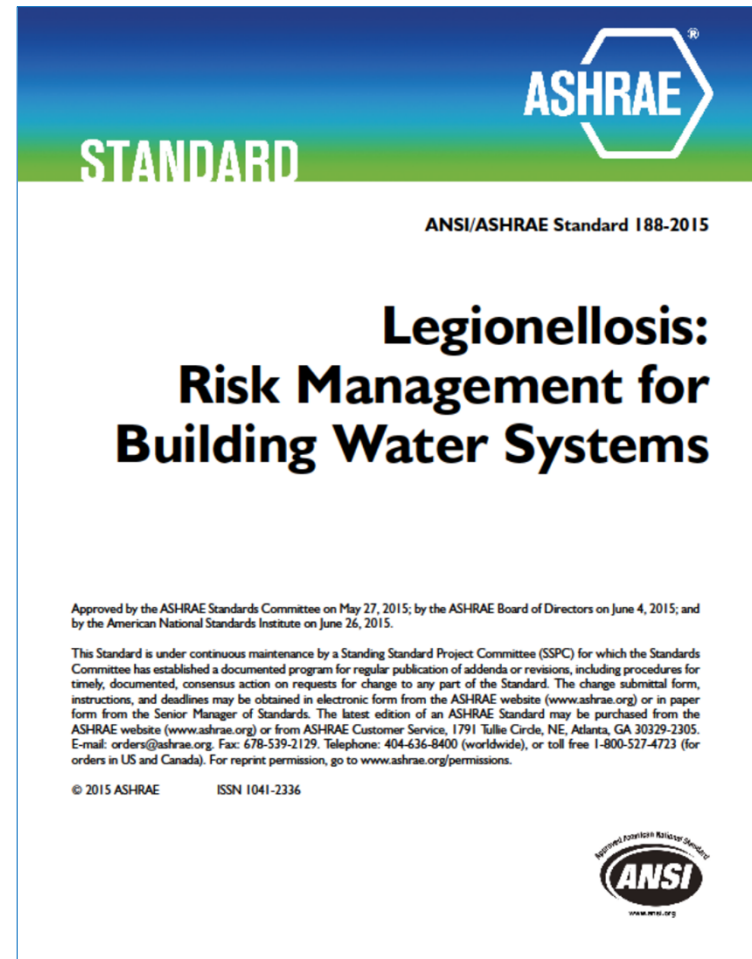
---

More and more people are providing *Legionella* prevention and water management services

How can building owners and facilities managers know the provider is knowledgeable?

# ASHRAE Standard 188 Says...

The program team shall  
have  
**knowledge**  
of the building water  
system design  
and water management  
**as it relates to**  
**Legionellosis**



# Who Has the Knowledge to Prevent These Infections?



# Legionella Water Management

## ASSE/IAPMO/ANSI 12080 for Legionella Water Safety and Management Personnel Now Available

Posted 27 Apr 2020

Tagged on ASSE



ASSE/IAPMO/ANSI 12080, Professional Qualifications Standard for Legionella Water Safety and Management Personnel, has been designated as an American National Standard by the American National Standards Institute (ANSI) and is now available for purchase.

# ASSE Standard 12080

- Certification to ASSE 12080 gives building owners confidence that the providers of these services possess this critical knowledge and are qualified to serve on facility water management program teams.



# Certification Requirements

---

Completion of a minimum 24-hour training course that includes all aspects of Standard 12080

Successfully pass written (and proctored) exam with minimum of 100 questions.

Passing score of 80% or higher

Learn more at [www.specialpathogenslab.com](http://www.specialpathogenslab.com)  
under Solutions

# Live Training



## Become a Professional Certified Legionella Water Safety & Management Specialist

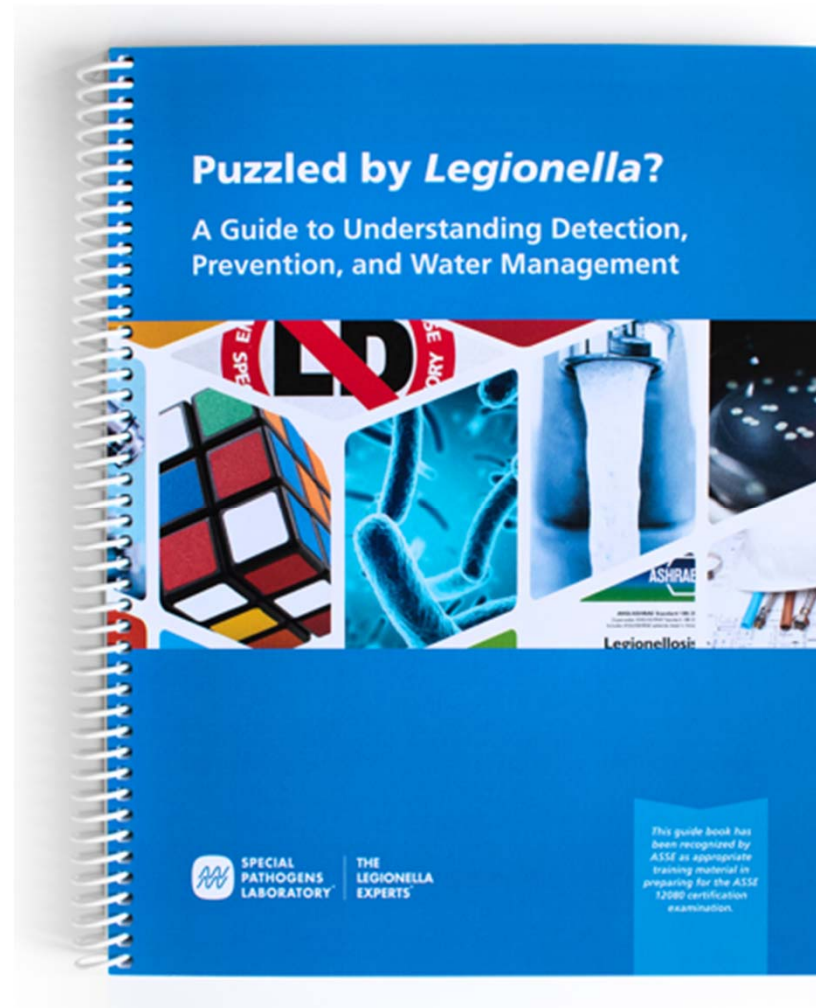
Learn from foremost Legionella expert, Dr. Janet E. Stout

- **3 Day, LIVE, Virtual Training**
- Monday - Wednesday  
8:00 AM - 5:00 PM EDT
- \$1299 per seat - *Group Discounts Available!*
- Take the exam on the last day!
- Registration open for 2022: **January 10-12, March 14-16, May 16-18, July 25-27, October 3-5**

[Register Now](#)

<https://specialpathogenslab.com/asse-12080-certification/>

# Knowledge Transfer





**MORE EDUCATION**  
**For You**

# Wednesday Webinar Series



<https://specialpathogenslab.com/legionella-education/>

# PUZZLED BY WEDNESDAY WEBINARS

## Puzzled by Legionella? Update from The Joint Commission



Diane Cullen, RN, MSN, MBA, CIC

This special hour-long presentation featured guest speaker Diane Cullen, RN, MSN, MBA, CIC. Cullen is Associate Director in the Standards Interpretation Group in the Division of Healthcare Improvement at The Joint Commission. She is responsible for working closely with accredited and certified organizations to interpret The Joint Commission standards, identify vulnerabilities, and improve their performance.



# Subscribe - It's Free!



## Subscribe

Got 20 minutes? Learn more about Legionella and other waterborne pathogens! Attend our free **Puzzled by Legionella? Wednesday Webinar** series. Held every other Wednesday at noon EDT!

First name\*

Last name\*

Email\*

Company name

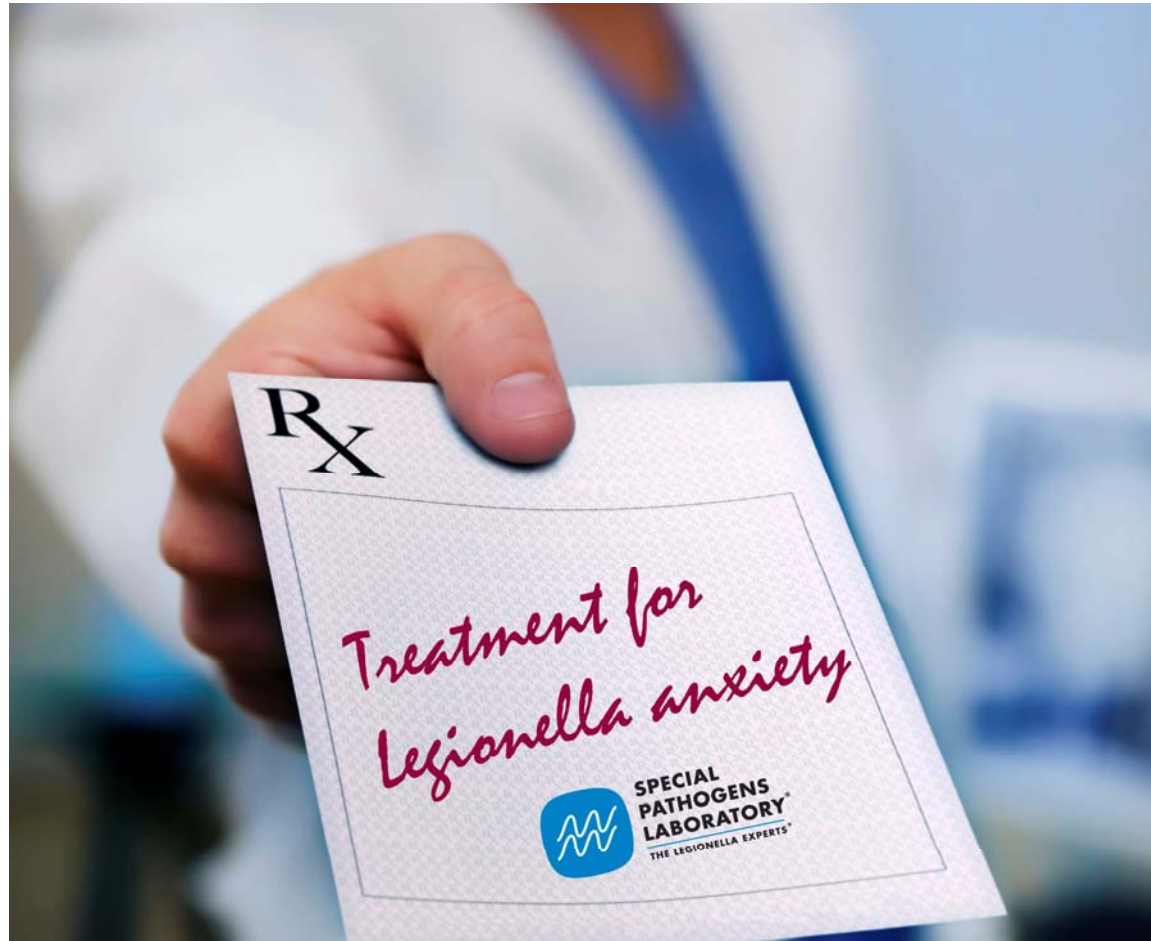
Special Pathogens Laboratory needs your permission to contact you about our services. You may unsubscribe from these communications at any time.

<https://specialpathogenslab.com/legionella-education/>

# *Legionella Anxiety?*

---





# MEDICATION

---

# Dr. Stout's *Legionella* Chill Pills

For treatment of  
*Legionella*-related anxiety.

Take 2 tablets 1 hour  
before testing or starting  
your ASHRAE 188 Water  
Management Program



# Crash The Party!



- Our Mission:
  - Stop the growth and spread of *Legionella* and waterborne pathogens



ce®



**SPECIAL  
PATHOGENS  
LABORATORY®**  
THE LEGIONELLA EXPERTS®

**THANK YOU!  
STAY SAFE!**

[WWW.SPECIALPATHOGENSLAB.COM](http://WWW.SPECIALPATHOGENSLAB.COM)

[JANET.STOUT@PACELABS.COM](mailto:JANET.STOUT@PACELABS.COM)



Dr. Janet E. Stout, Legionellologist